OCT 2 3 2006.





826

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/561,504

101 SOUTH TRYON STREET, SUITE 4000

Ikumi Tamai

051009/304561

INTERNATIONAL APPLICATION NO.

PCT/JP04/08958 I.A. FILING DATE

PRIORITY DATE

06/18/2004

06/20/2003

CONFIRMATION NO. 2086

371 FORMALITIES LETTER

OC000000020892875

Date Mailed: 10/19/2006

ALSTON & BIRD LLP

BANK OF AMERICA PLAZA

CHARLOTTE, NC 28280-4000

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/19/2005
- English Translation of the IA filed on 12/19/2005
- Copy of the International Search Report filed on 12/19/2005
- Information Disclosure Statements filed on 12/19/2005
- Biochemical Sequence Diskette filed on 12/19/2005
- Biochemical Sequence Listing filed on 12/19/2005
- Request for Immediate Examination filed on 12/19/2005
- U.S. Basic National Fees filed on 12/19/2005
- Priority Documents filed on 12/19/2005
- Non-English Language Application filed on 12/19/2005
- Specification filed on 12/19/2005
- Claims filed on 12/19/2005
- Abstracts filed on 12/19/2005
- Drawings filed on 12/19/2005
- Paper nucleotide sequence listings filed on 12/19/2005
- Paper Computer Listings filed on 12/19/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

> **ALSTON & BIRD** RTA

RECEIVED 1 1 24 DL 24 0

 To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.
 - A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

	•				
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.			
10/561,504	PCT/JP04/08958	051009/304561			

FORM PCT/DO/EO/905 (371 Formalities Notice)

IAP13 Rec'd PCT/PTO 11 DEC 2006 FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 7-05) TRANSMITTAL LETTER TO THE UNITED STATES 051009/304561 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) CONCERNING A FILING UNDER 35 U.S.C. 371 10/561,504 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED June 20, 2003 PCT/JP2004/008958 June 18, 2004 TITLE OF INVENTION METHOD OF SCREENING REMEDY FOR BREAST CANCER APPLICANT(S) FOR DO/EO/US Ikumi TAMAI, Takashi NOZAWA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. \boxtimes This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. \boxtimes This is an express request to begin national examination procedures (35 U.S.C. 371(f)). This submission must include 3. items (5), (6), (9) and (21) indicated below. \boxtimes The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. a. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). \boxtimes An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 10. U.S.C. 371(c)(5)). Items 11. To 20. below concern document(s) or information included: An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 11. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. \boxtimes A SECOND or SUBSEQUENT preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76 15. A substitute specification. 16. 🛛 A power of attorney and/or change of address letter. 17. 🛛 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter. 2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: Copy of Notification of Missing Requirements (2 pp.); Sequence Listing (5 pp.); Statement in Support of Sequence Listing (1 p.)

:	U.S. APPLICATION NO. (If kno 10/561,504	ATION NO. (If known, see 37 C.F.R. 1.50) INTERNATIONAL APPLICATION NO. PCT/JP2004/008958			ATTORNEY'S DOCKET NUMBER 051009/304561				
	☐ The following fees h	The following fees have been submitted:							
	22. Examination								
	(If the written opinion prepared by the IPEA/	prepared by ISA US indicates all	by ISA/US or the international preliminary examination report tes all claims satisfy provisions of PCT Article 33(1-4) \$ 0						
ŀ	All other situations		\$200						
ŀ	23. Search fee (37	` '/'			• .•				
	If the written opinion of prepared by IPEA/US		•						
	Search fee (37 CFR 1.								
	to the USPTO as an In								
	International Search R								
	or previously commun		•		•				
	7111 Other Situations	TOTAL OF 2	\$500						
	Additional for form								
;	Additional fee for sequence listing in cor an electronic medium)	mpliance with 37 (37 CFR 1.492)							
	The fee is \$250.00 for		· · · · · · · · · · · · · · · · · · ·						
	Total Sheets	Extra Sheets		of each additional 50 (round up to a whole		Rate			
	- 100 =	/50=				x \$250			
	Surcharge of \$130.00	_	•	-	_				
3	declaration later the da	ate of commence		he national stage (37) NUMBER EXTRA	CFR 1.492(h) RATE).			
I	Total Claims	NOMBER	-20 =	0	X \$ 50.00				
	Independent Claims		- 3 =	0	X \$200.00	· <u>. </u>			
	MULTIPLE DEPENDE	NT CLAIM(S) (if	applicable)		+ \$360.00				
	TOTAL OF ABOVE CALCULATIONS =								
	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.								
	SUBTOTAL =							77.00-00-00-00-00-00-00-00-00-00-00-00-00-	
	Processing fee of \$130 earliest claimed priori		ns from the	\$ 65.00					
				TOTAL NAT	IONAL FEE) =			
	Fee for recording the	_			_				
	accompanied by an ap	propriate cover				erty +	0.65.00		
				TOTAL FEES ENCL	O2FD =		\$ 65.00 Amount to be		
10/14	2006 GFREY1 000001	24 10561504					Refunded	S	
		65.00	nn				Amount to be	S	
01 FC				O to cover the above fee	s is analosad		Charged		
	 a.								
	restore the application to pending status. SEND ALL CORRESPONDENCE TO: "Everyone Mail! Mailing Label Number EV 012518663 LIS								
	Edward R. Ergenzinger				"Express Mail" Mailing Label Number EV 913518663 US Date of Deposit: December 11, 2006				
	SIGNATURE REGISTRATION NUMBER 47,549 ALSTON & BIRD LLP Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Raleigh Office (919) 862-2200					I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Attn: DO/US (PTO) Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313/1450.			
	Fax Charlotte Office (Customer Number 0								